



Please type a plus sign (+) inside this box → [+]

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

*(to be used for all correspondence after initial filing)*

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>		Application Number	09/385,574
		Filing Date	08/30/1999
		First Named Inventor	Takao OGAWA et al.
		Group Art Unit	1774
		Examiner Name	K. Nguyen
Total Number of Pages in This Submission		Attorney Docket Number	740186-13

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input checked="" type="checkbox"/> Other: Preliminary Amendment
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Declaration and Power of Attorney	
<input type="checkbox"/> After Final	<input type="checkbox"/> Licensing-related Papers	
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition	
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Petition to Convert to a Provisional Application	
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the above identified docket number.

RECEIVED  
OCT 28 2002  
TC 1700

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Thomas W. Cole (Reg. No. 28,290) Nixon Peabody LLP 8180 Greensboro Drive Suite 800 McLean, VA 22102
Signature	<i>Thomas W. Cole</i>
Date	October 21, 2002

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

*10.21.2002*

Type or printed name	Adele M. Stamper
Signature	<i>Adele M. Stamper</i>
Date October 21, 2002	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.



Docket No. 0186-13

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Takao OGAWA et al.

) Group Art Unit: 1774

Serial No. 09/385,574

) Examiner: K. Nguyen

Filed: 08/30/1999

)

For: LIGHT SHIELDING BLADE  
MATERIAL FOR OPTICAL  
APPARATUS

)  
)  
)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on October 21, 2002

Adele M. Stamper  
Adele M. Stamper

**PRELIMINARY AMENDMENT**

**RECEIVED**  
OCT 28 2002  
1C 1700

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to the filing of the RCE on September 20, 2002, please amend this applications follows:

IN THE CLAIMS:

*Sub 1*  
1. (Amended) A light shielding blade material for use in an optical apparatus, comprising:  
a substrate consisting of a plastic film having a pair of surfaces opposed to each other;  
a shield coating being capable of blocking an incident light and being formed on each surface of the substrate, the shield coating being composed of a paint resin containing a carbon black;  
a reinforcement member disposed on each shield coating, the reinforcement member